

Arbitration and Mediation

The firm's Arbitration and Mediation attorneys advise clients in connection with every aspect of arbitration, mediation, and other alternatives to traditional litigation. We handle substantial matters before a variety of domestic and international arbitration platforms, including the AAA, FINRA, ICC, JAMS, and UNCITRAL. We recognize that arbitration and mediation matters require a different approach from traditional litigation, and we guide our clients through the process in a cost-efficient and streamlined manner.

In addition to the advocacy done by our litigators, our corporate attorneys regularly advise clients on and negotiate arbitration clauses in prospective transactions. We provide advice on clauses relating to every aspect of arbitration, including forum choice, pre-dispute resolution negotiation and mediation, and arbitration rule selection and modification.

Represented a major U.S. broker-dealer in connection with a series of FINRA arbitration proceedings arising out of the sale of auction rate securities and the subsequent collapse of the auction rate securities market, including winning take-nothing awards in multiple cases involving claims totaling more than \$260 million.

Represented Korean company in technology license dispute under ICC Arbitration Rules.

Defended leading Korean technology company in major international arbitration under UNCITRAL Arbitration rules. Representation led to selection as principal outside U.S. counsel to client, including defense of client in major patent litigations and class action product defect cases.

Represented leading global provider of photovoltaic products in international arbitration against supplier regarding defective products.

Represented Japanese consortium in complex international arbitration involving novel issues of asset valuation and international law relating to Brazilian mining company, with claims of up to \$1 billion.

Represented Japanese international construction company in arbitration with Japanese bank arising out of construction of luxury hotel in Hawaii.

Represented a foreign investor in arbitration with the Government of Indonesia concerning payment for a power project.

Represent leading U.S. broker-dealer in multiple securities litigation and arbitration matters, including class action litigation arising from offering of mortgage pass-through certificates.

Obtained voluntary dismissal with prejudice and expungement order for registered representative despite being retained less than one week before arbitration hearings.

Represented claimants in eight-week FINRA arbitration of claims of more than \$280 million related to complex structured securities.

Represented insurance company in arbitration with its former managing general agent concerning the agent's transfer of the carrier's municipal business to a competitor.

Regularly represent financial advisors employed by major financial institution in arbitrations and litigations.

Represented marketer of weather-related accessories in litigation and arbitration regarding post-merger earn-out dispute.

Represented a major financial institution in connection with multiple FINRA arbitration proceedings.

Represented construction company in AAA arbitration involving a construction dispute.

Represented metals trader in an arbitration arising out of copper sales.

Defended major financial institution in a FINRA arbitration in which claimants sought \$15 million relating to allegedly unsuitable investments.