



S. Gale Dick
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Practice Areas

Litigation and Arbitration, Commercial Litigation, Securities Litigation, Arbitration and Mediation, Appellate Practice, Insurance, White Collar Defense and Regulation, FCPA/Anti-Corruption, Internal Investigations, Regulatory Enforcement, Criminal Defense, Privacy and Data Security, Intellectual Property and Technology, Employment Law - U.S., Employment Law, Africa Practice, Regional Practices, Korea Practice

Education

Columbia Law School (J.D., 1998);
University of California, Santa Cruz (B.A.,
with honors, 1986)

Bar Admissions

New York State; U.S. District Courts for
the Southern and Eastern Districts of
New York

S. Gale Dick's practice focuses on U.S. and international commercial arbitration and litigation, U.S. and cross-border corporate investigations, white collar criminal and enforcement matters, and securities litigation and arbitration. His clients have included domestic and multinational corporations in the financial services, media, manufacturing, engineering, construction, health care, and consumer products sectors; boards of directors and board committees; individuals; governmental and quasi-governmental entities; nonprofit organizations; and law firms and other professional service providers. Gale has also represented clients in matters involving intellectual property, defamation, and related First Amendment issues. Gale has been recognized in *The Legal 500's* U.S. guide in its coverage for securities litigation, corporate investigations, and white collar criminal defense, and general commercial disputes. He has been described in the guide as "smart, practical, and easy to work with" and it has been noted that his "skills are outstanding." *The Legal 500* has further noted that he is "well versed in international commercial arbitration matters and litigation, as well as securities litigation and general contract disputes." *Super Lawyers* has recognized Gale on its annual New York Metro Super Lawyers list for business litigation since 2018.

Gale was formerly a Lecturer in Law at Columbia Law School, and was formerly a Teaching Fellow at the Columbia University Graduate School of Journalism. He is a member of the Advisory Board of the Institute for Transnational Arbitration and a former Managing Editor of the *World Arbitration & Mediation Report* and the *American Review of International Arbitration*. He has published widely on international arbitration, FCPA enforcement, and corporate investigations.

Prior to joining the firm, Gale practiced for 10 years at Debevoise & Plimpton. He also served as a law clerk to Judge Robert D. Sack of the U.S. Court of Appeals for the Second Circuit and to Judge Eugene H. Nickerson of the U.S. District Court for the Eastern District of New York. He is a graduate of Columbia Law School, where he was a James Kent Scholar and a Harlan Fiske Stone Scholar.

White Collar Defense

Represented the Audit Committee of Siemens AG in groundbreaking global investigation of possible FCPA violations and follow-on SEC and DOJ proceedings.

Conducted internal investigation for a leading financial institution of mispricing of subprime securities, and follow-on regulatory investigations and employment actions.

Conducted internal investigation for a leading financial institution relating to alleged mispricing of subprime securities, and follow-on regulatory investigations and employment actions.

Represented Global Fortune 50 manufacturing and consumer products corporation in India-based internal investigation of FCPA and taxation issues and related SEC and DOJ negotiations.

Assisted court-appointed monitor in remediation of racial discrimination within the New York City Fire Department following federal litigation.

Advised financial services firms on FCPA issues arising in conducting business in China and the Middle East.

Conducted internal investigation for nonprofit healthcare provider in relation to alleged False Claims Act, tax, and other violations.

Advised several corporate clients on creation of FCPA compliance programs.

Advise US and foreign issuers in responding to regulatory investigations by the SEC, CFTC, FINRA, Department of Justice, and state government agencies.

Conducted internal investigation for major international construction conglomerate of alleged fraud in connection with government contracting and appropriations.

Represented major international insurance and reinsurance carrier in investigations relating to alleged broker practices and complex insurance products.

Represented Big Four accounting firm in SEC investigation of alleged conflicts of interest and fraud.

International Arbitration

Represented major East Asian consumer electronics manufacturer in international arbitration of contract and intellectual property dispute with Chinese software provider.

Represented Japanese consortium in complex international arbitration involving novel issues of asset valuation and international law relating to Brazilian mining company, with claims of up to \$1 billion.

Represented Brazilian environmental services company in international arbitration against Japanese utility company in dispute over contract relating to carbon-emission credits.

Represented significant international television station in international arbitration against U.S. satellite television provider over contract and intellectual property issues.

Represented Korean entity in arbitration with French corporation in international arbitration over share purchase agreement.

Represented several U.S. insurers in international arbitrations of 9/11-related coverage disputes.

Represented Bermuda reinsurer in international arbitration of contract dispute with U.S. software and service provider.

Securities Litigation & Arbitration

Represented major financial institution in several class action litigations relating to mortgage-backed securities.

Obtained dismissal of putative fraud claims relating to LIBOR rate manipulation for two of the world's largest banks.

Represented claimants in eight-week FINRA arbitration of claims of more than \$280 million related to complex structured securities.

Litigation of class action and shareholder derivative suits relating to financial disclosure, fraud, and product liability issues.

Represented insurers and reinsurers in complex contract and insurance coverage disputes.

Activities and Affiliations

Member, New York City Bar Association (International Commercial Disputes Committee)

Advisory Board Member, Institute for Transnational Arbitration